

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) **EP 1 187 506 A1**

(12) **EUROPEAN PATENT APPLICATION**

(43) Date of publication:  
**13.03.2002 Bulletin 2002/11**

(51) Int Cl.7: **H04Q 7/38, H04B 7/06**

(21) Application number: **01302466.6**

(22) Date of filing: **19.03.2001**

(84) Designated Contracting States:  
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE TR**  
Designated Extension States:  
**AL LT LV MK RO SI**

- **Sunay, Mehmet Oguz**  
**Koc Universit.Lojmanlari 16/2**  
**Istanbul (TR)**
- **Viswanathan, Harish**  
**Basking Ridge, New Jersey 07920 (US)**

(30) Priority: **12.09.2000 US 660095**

(74) Representative:  
**Buckley, Christopher Simon Thirsk et al**  
**Lucent Technologies (UK) Ltd,**  
**5 Mornington Road**  
**Woodford Green, Essex IG8 0TU (GB)**

(71) Applicant: **LUCENT TECHNOLOGIES INC.**  
**Murray Hill, New Jersey 07974-0636 (US)**

(72) Inventors:  
• **Kogiantis, Achilles George**  
**Madison, New Jersey 07940 (US)**

(54) **Communication system having a flexible transmit configuration**

(57) A communication system's transmit configuration is reconfigured based on mobile station associated conditions such as the capability of the mobile receiver, carrier to interference or signal to noise ratios, and the degree of mobility associated with the mobile station. As a result, the transmit configuration is optimized for the conditions associated with a particular mobile receiver.

In one embodiment, the transmit configuration may be selected to operate in configurations such as a single transmit antenna configuration, a space time spreading configuration, a selective transmit diversity, and a multi-output and multi-input configuration.

**EP 1 187 506 A1**

## Description

### Background of the Invention

#### 1. Field of the Invention

[0001] The present invention relates to communications; more specifically, wireless communications.

#### 2. Description of the Related Art

[0002] Prior communication systems have been designed around one type of transmit configuration. In older systems, a single antenna is used for transmitting. In newer systems, one of several other types of transmit configurations have been used but they did not provide flexibility to address channel conditions such as the degree of mobility associated with a mobile station.

#### Summary of the Invention

[0003] The present invention provides a communication system with a transmit configuration that may be reconfigured based on mobile station associated conditions such as the capability of the mobile receiver, carrier to interference or signal to noise ratios, and the degree of mobility associated with the mobile station. As a result, the transmit configuration is optimized for the conditions associated with a particular mobile receiver. In one embodiment, the transmit configuration may be selected to operate in configurations such as a single transmit antenna configuration, a space time spreading configuration, a selective transmit diversity configuration, and a multi-output and multi-input configuration.

#### Brief Description of the Drawings

[0004]

FIG. 1 illustrates a single transmit antenna configuration;

FIG. 2 illustrates a selective transmit diversity configuration;

FIG. 3 illustrates a space time spreading configuration; and

FIG. 4 illustrates a multi-output and multi-input configuration.

#### Detailed Description

[0005] When a mobile station initially makes contact with a base station requesting a communication channel, it transmits information such as its electronic serial number (ESN), and mobile associated channel conditions such as carrier to interference ratio ( $\frac{C}{I}$ ), signal to noise ratio ( $\frac{S}{N}$ ), the mobile station's degree of mobility (whether the mobile is standing still, moving slowly or moving at a relatively high speed) and the transmit con-

figurations supported by the mobile. Additionally, the base station transmits its capabilities to mobile stations on a channel such as a paging channel or synchronization channel where it indicates the types of transmit configurations that are available. In one embodiment, based on the information provided by the mobile station and the capabilities of the base station, the base station provides one of four different transmit configurations for communications with the mobile station. The selected transmit configuration is communicated to the mobile station using a control channel such as a paging channel or synchronization channel.

[0006] In one embodiment, the four transmit configurations are a single transmit antenna configuration, a selective transmit diversity configuration, a space time spreading configuration and a multi-input/multi-output configuration. The use of these four configurations is provided for instructional purposes. Different numbers and different types of configurations may be used.

[0007] FIG. 1 illustrates a single transmit antenna configuration. Base station 10 communicates with mobile 20 using a single antenna at both the base station and mobile station. This configuration may be the default configuration and is typically assigned when the mobile has a high degree of mobility. High degrees of mobility correspond to times such as when the mobile station is in a motor vehicle or a train.

[0008] FIG. 2 illustrates a selective transmit configuration. In this configuration, base station 30 communicates with mobile station 40 using one of multiple transmit antennas and one antenna at the mobile station. Communications are tested using each of antennas 44 and 46, and then the mobile reports to the base station which of the two antennas provided superior communications. Superior communications may be determined using factors such as carrier to interference ratios, signal to noise ratios or error rates. This configuration is typically used when there are poor channel conditions such as low carrier to interference ratio and the mobile has a low level of mobility. Low mobility corresponds to situations such as the mobile being stationary or being carried by a user as he or she walks.

[0009] FIG. 3 illustrates a space time spreading configuration. Base station 50 communicates with mobile station 60 using at least two transmit antennas at the base station simultaneously and one receive antenna at the mobile station. In this configuration each of the transmit antennas uses a different Walsh code for the data that is transmitted through the antenna. In the case of two antennas as illustrated in the figure, antennas 62 and 64 carry data encoded with different orthogonal codes such as Walsh codes. This type of configuration is typically used in situations where channel conditions are good; that is, the carrier to interference ratio or signal to noise ratio is high and the mobility of the mobile station is low.

[0010] FIG. 4 illustrates a multi-input/multi-output transmit configuration. In this configuration base station

70 transmits to mobile station 80 using at least two transmit antennas and mobile station 80 receives the signals using at least two receive antennas. In this configuration data is simultaneously transmitted on antennas 82 and 84, and it is received by antennas 86 and 88. This type of configuration is typically used where there are good channel conditions such as a high carrier to interference ratio or signal to noise ratio, where the mobility of the mobile station is low, and where the mobile station has multiple receive antennas.

[0011] Other types of known configurations may be used or a subset of the above described configurations may be used based on the capabilities of the base station and mobile station associated channel conditions such as the capabilities of the mobile station, carrier to interference ratio, the signal to noise ratio and the mobile station's mobility.

#### Claims

1. A method for reconfiguring a communication system, **characterized by** the steps of:

determining at least one condition associated with a mobile station; and  
selecting one of a plurality of transmit configurations using the at least one channel condition.

2. The method of claim 1, **characterized in that** the at least one channel condition is the mobility of the mobile station.

3. The method of claim 1, **characterized in that** the at least one channel condition is the capabilities of the mobile station.

4. The method of claim 1, **characterized in that** the at least one channel condition is the carrier to noise ratio of the communication channel.

5. The method of claim 1, **characterized in that** the at least one channel condition is the signal to noise ratio of the communication channel.

6. The method of claim 1, **characterized in that** the at least one channel condition is an error rate.

7. The method of claim 1, **characterized in that** the step of selecting comprises selecting a single antenna transmit configuration.

8. The method of claim 1, **characterized in that** the step of selecting comprises selecting a selection transmit diversity transmit configuration.

9. The method of claim 1, **characterized in that** the step of selecting comprises selecting a space time

spreading transmit configuration.

10. The method of claim 1, **characterized in that** the step of selecting comprises selecting a multi-output and multi-input transmit configuration.

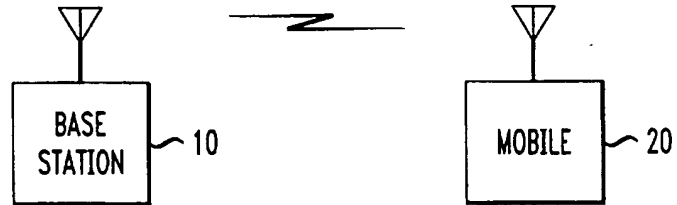
11. The method of claim 1, **characterized in that** the step of selecting comprises selecting a configuration that selects one of a plurality of transmit antennas.

12. The method of claim 1, **characterized in that** the step of selecting comprises selecting a configuration that transmits using a plurality of transmit antennas.

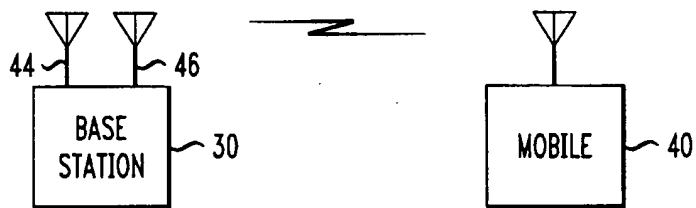
13. The method of claim 12, **characterized in that** the step of selecting comprises selecting a configuration that transmits using a plurality of transmit antennas, where each antenna uses a different orthogonal code.

14. The method of claim 13, **characterized in that** the step of selecting comprises selecting a configuration that transmits using a plurality of transmit antennas, where each antenna uses a different Walsh code.

*FIG. 1*



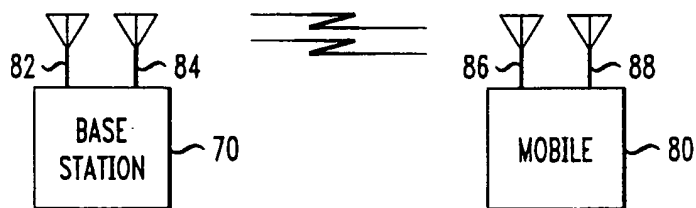
*FIG. 2*



*FIG. 3*



*FIG. 4*





European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 01 30 2466

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
X	EP 0 966 125 A (MOTOROLA INC) 22 December 1999 (1999-12-22)	1,2,6-8, 11,12	H04Q7/38 H04B7/06
Y	* abstract *	3-5,9, 10,13,14	
	* column 3, line 13 - line 30 *		
	* column 5, line 50 - column 7, line 49 *		
	* figure 1 *		
X	--- SAYEED Z: "THROUGHPUT ANALYSIS AND DESIGN OF FIXED AND ADAPTIVE ARQ/DIVERSITY SYSTEMS FOR SLOW FADING CHANNELS" IEEE GLOBECOM 1998. GLOBECOM '98. THE BRIDGE TO GLOBAL INTEGRATION. SYDNEY, NOV. 8 - 12, 1998, IEEE GLOBAL TELECOMMUNICATIONS CONFERENCE, NEW YORK, NY: IEEE, US, vol. 6, 1998, pages 3686-3691, XP000805313 ISBN: 0-7803-4985-7	1,6-8, 11,12	
A	* page 3686, left-hand column, line 24 - page 3687, left-hand column, line 27 *	2-5,9, 10,13,14	TECHNICAL FIELDS SEARCHED (Int.Cl.7)
Y	--- US 5 666 649 A (DENT PAUL W) 9 September 1997 (1997-09-09)	3-5	H04Q H04B
	* abstract *		
	* column 1, line 30 - line 40 *		
	* column 1, line 53 - line 60 *		
	* column 2, line 6 - line 14 *		
	* column 4, line 64 - column 5, line 11 *		
Y	--- WO 99 14871 A (AT & T WIRELESS SERVICES INC) 25 March 1999 (1999-03-25)	9,10,13, 14	
	* abstract *		
	* page 4, line 26 - page 6, line 8 *		
	* figures 1,2 *		
	--- -/--		
The present search report has been drawn up for all claims			
Place of search <b>MUNICH</b>		Date of completion of the search <b>30 October 2001</b>	Examiner <b>Rabe, M</b>
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 03 82 (P04001)



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 01 30 2466

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	WO 99 39454 A (ERICSSON TELEFON AB L M) 5 August 1999 (1999-08-05) * page 2, line 12 - page 3, line 20 * * page 4, line 5 - line 14 * * figure 2 *	1-14	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
The present search report has been drawn up for all claims			
Place of search <b>MUNICH</b>		Date of completion of the search <b>30 October 2001</b>	Examiner <b>Rabe, M</b>
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPC FORM 1503 03/82 (P04/C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 01 30 2466

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

30-10-2001

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0966125	A	22-12-1999	EP 0966125 A1	22-12-1999
			JP 2000069570 A	03-03-2000
US 5666649	A	09-09-1997	AU 691657 B2	21-05-1998
			AU 3419295 A	22-03-1996
			BR 9506349 A	02-09-1997
			CA 2175459 A1	07-03-1996
			CN 1136879 A	27-11-1996
			EP 0737409 A1	16-10-1996
			FI 961842 A	30-04-1996
			JP 9509546 T	22-09-1997
			RU 2139632 C1	10-10-1999
			WO 9607288 A1	07-03-1996
			US 6091954 A	18-07-2000
WO 9914871	A	25-03-1999	EP 1016228 A1	05-07-2000
			US 6185258 B1	06-02-2001
			WO 9914871 A1	25-03-1999
WO 9939454	A	05-08-1999	AU 2646799 A	16-08-1999
			CN 1289486 T	28-03-2001
			DE 19982795 T0	22-03-2001
			SE 9800272 A	31-07-1999
			WO 9939454 A1	05-08-1999

EPO FORM P0459

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82